

# Sparse Navigable Graphs for Nearest Neighbor Search

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*University of Pennsylvania*

**Erik Waingarten**

*University of Pennsylvania*

(SODA 2026)

# Outline of the Talk

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Concurrent work with overlapping results!

- Conway, Dhulipala, Farach-Colton, Johnson, Landrum, Musco, Schechter, Suel, Wen. *Efficiently Constructing Sparse Navigable Graphs.* (2025)

# Background

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(1/4)

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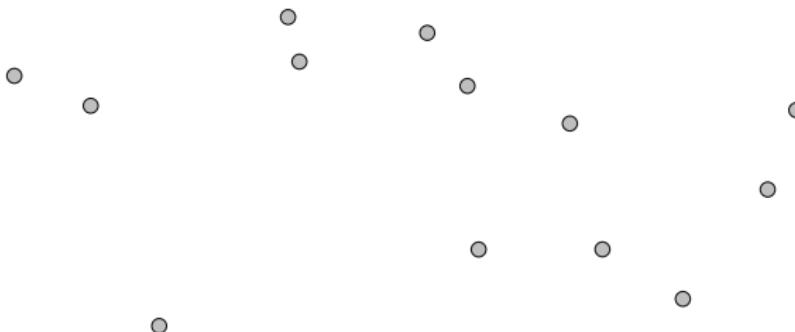
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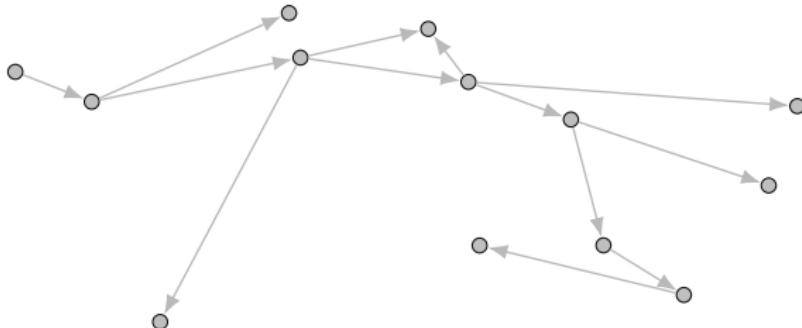
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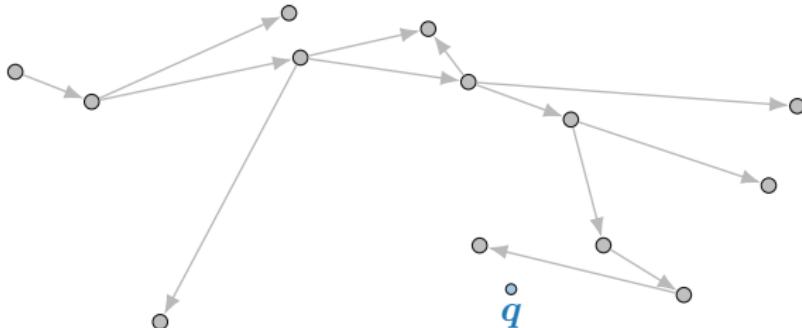
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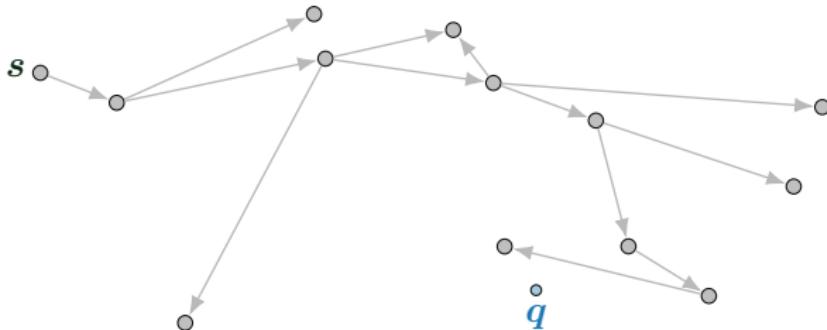
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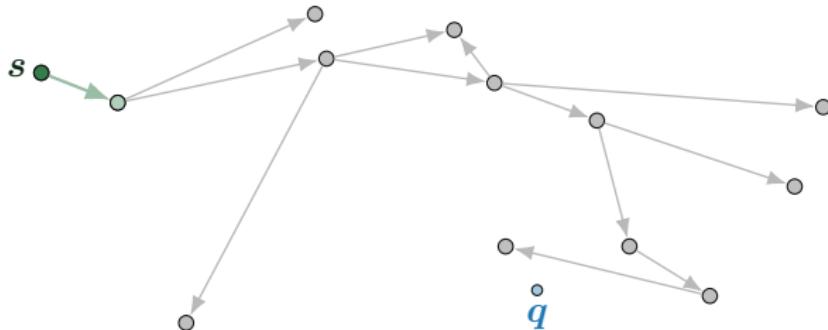
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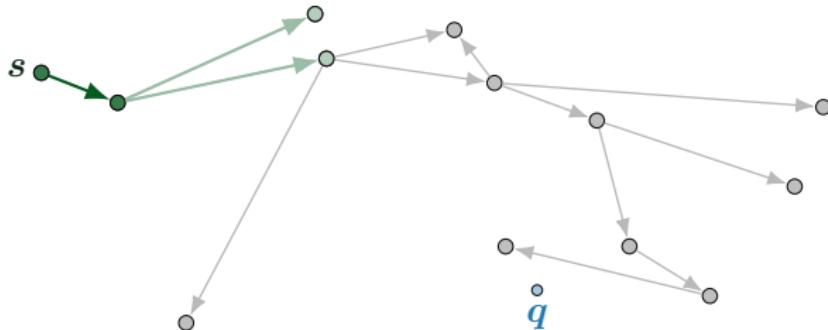
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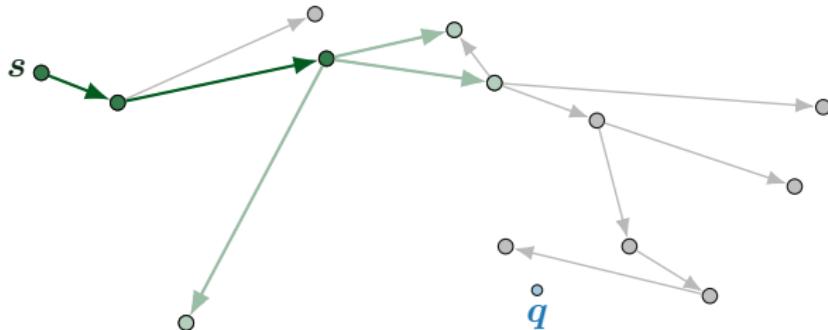
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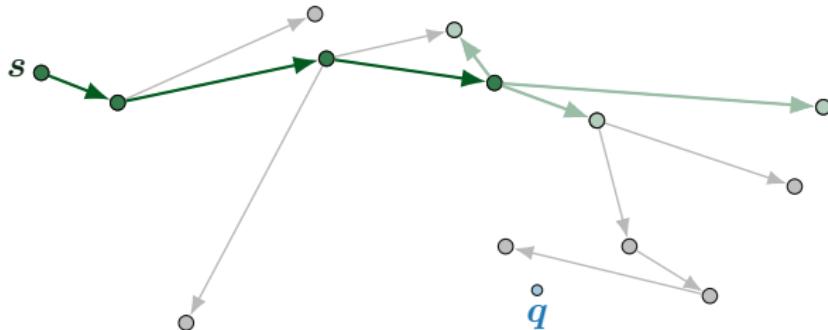
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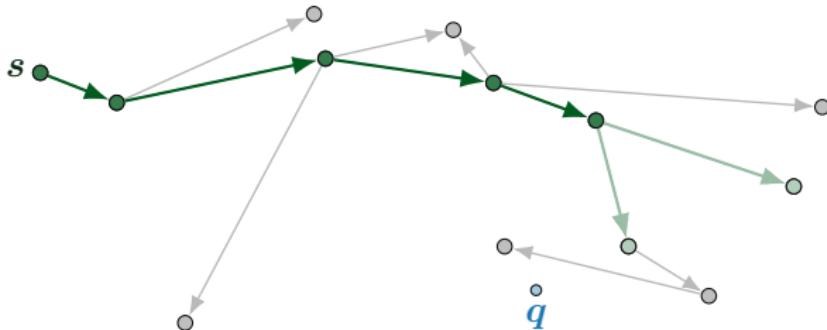
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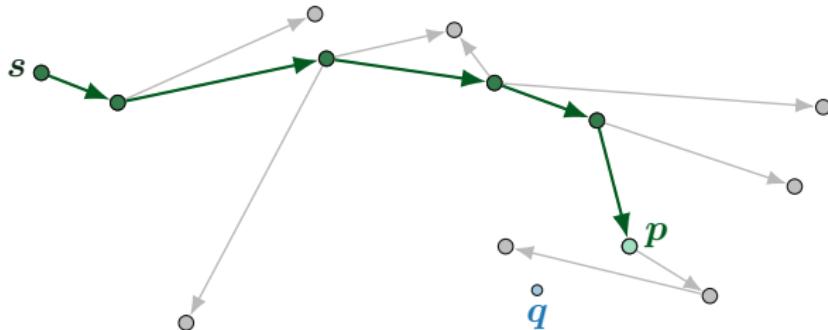
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## Why Graphs? It's a Small World

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Real-world networks exhibit *small world* behavior

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Real-world networks exhibit *small world* behavior

Milgram (1969). Packages from Nebraska → Boston

- “Pass to a friend closer to Boston”
- Median chain length: only six!

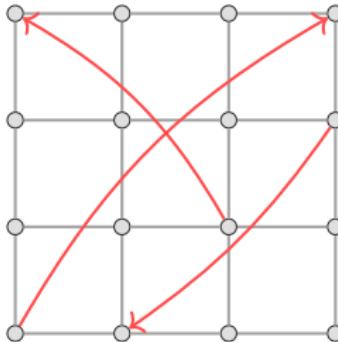


# Navigability in Small-World Graphs

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Kleinberg (2000). Formalization of Milgram's experiment

- **Model:** Grid + random long-range edges
- **Rule:** Move to neighbor closest to the target

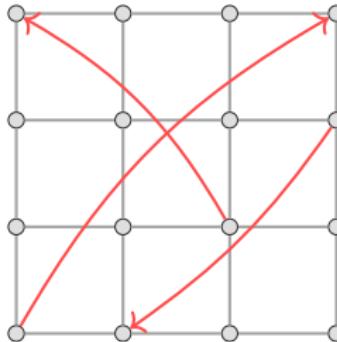


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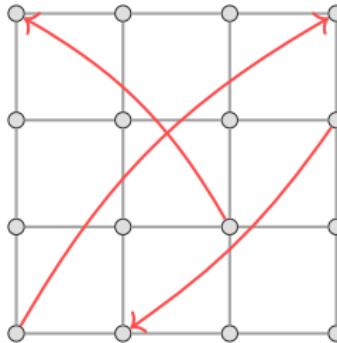
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Q. Can we make *arbitrary data* navigable for NNS?

(Motivation behind modern NNS heuristics!)

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Dataset  $\mathbf{P}$  with metric  $d$ ; directed graph  $\mathbf{G} = (\mathbf{P}, E)$ .

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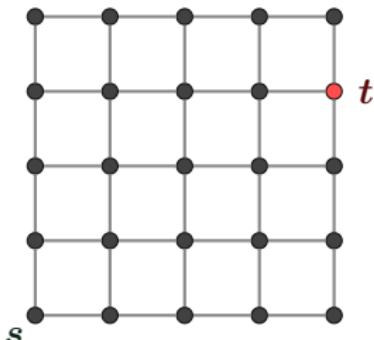
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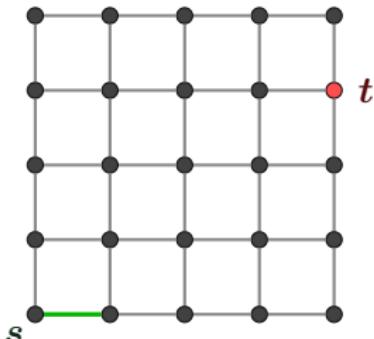
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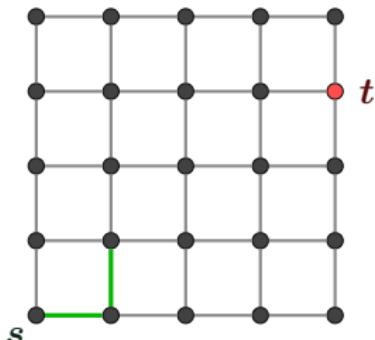
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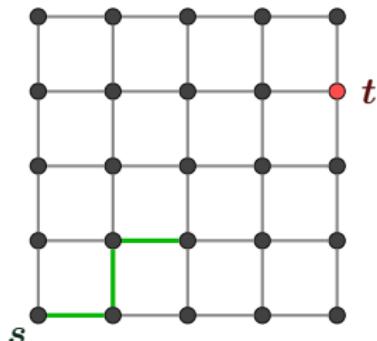
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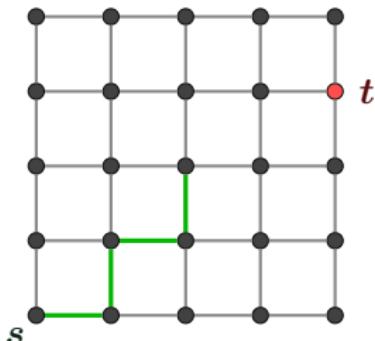
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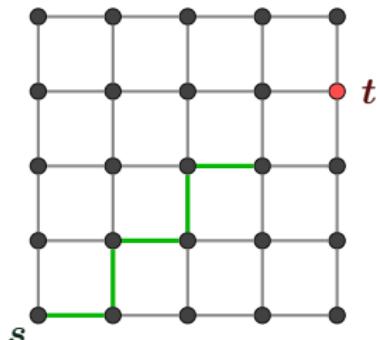
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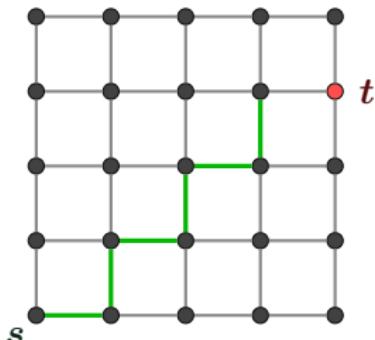
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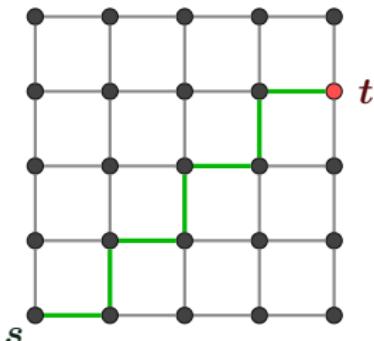
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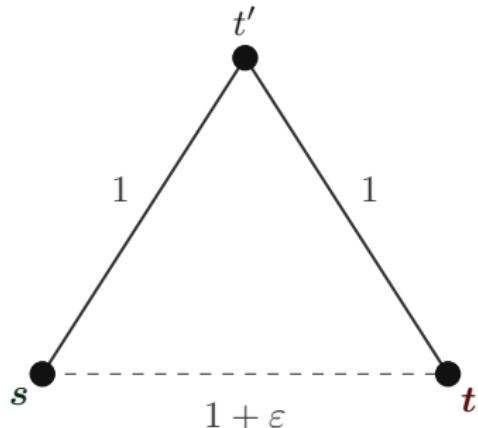
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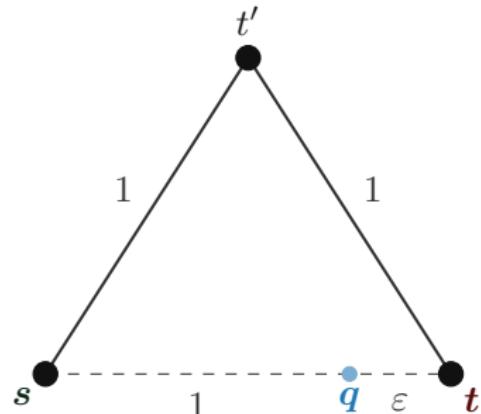
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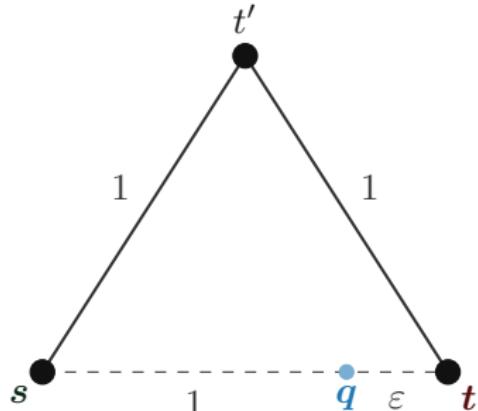
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GreedySearch( $s, \mathbf{q}$ )  $\rightarrow$   $(1/\varepsilon)$ -ANN



# $\alpha$ -Navigability: a small (world) fix!

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## Surprising Theorem! [Indyk-Xu, 2023]

$\forall \alpha > 1$ , if  $G$  is  $\alpha$ -navigable, then GreedySearch returns a

$$\left( \frac{\alpha + 1}{\alpha - 1} + \varepsilon \right) \text{-ANN in } O(\log(\Delta/\varepsilon)) \text{ hops.}$$

**Takeaway:** sparse  $\alpha$ -navigable graphs  $\implies$  fast ANN!

# Building $\alpha$ -Navigable Graphs

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**Heuristics:** DiskANN, HNSW, etc.

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**Our Paper:**

## Sparsest Navigable Graph (SNG)

Given dataset  $(P, d)$  and  $\alpha \geq 1$ ,

- What is the sparsest  $\alpha$ -navigable graph on  $P$ ?
- How fast can we compute (or approximate) it?

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**Sparsity objective:** minimize maximum degree

## Negative Result for Slow-DiskANN

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$(\lambda(P) := \text{doubling dimension of } P)$

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## Result 1

There is a dataset  $\mathbf{P}$  where:

- $\exists$  1-navigable graph of max-degree  $O(\log n)$
- Slow-DiskANN outputs graph of degree  $\Theta(n)$

$\implies$  Slow-DiskANN gives  $\tilde{\Omega}(n)$ -approximation (very bad!)

# Set Cover View

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(2/4)

## SNG $\longrightarrow$ Set Cover

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Equivalent Set Cover instance.

Elements  $\mathbf{P} \setminus \{s\}$

Sets  $Z(s, u) := \{t \mid d(u, t) < d(s, t)/\alpha\}$

Cover size  $\deg(s)$

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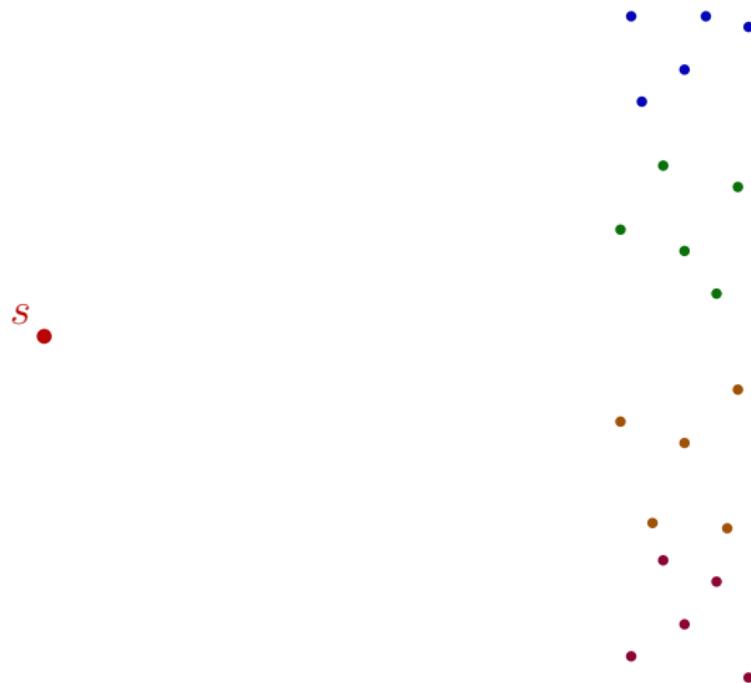
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**Key:**  $\alpha$ -navigability  $\equiv n$  Set Cover instances

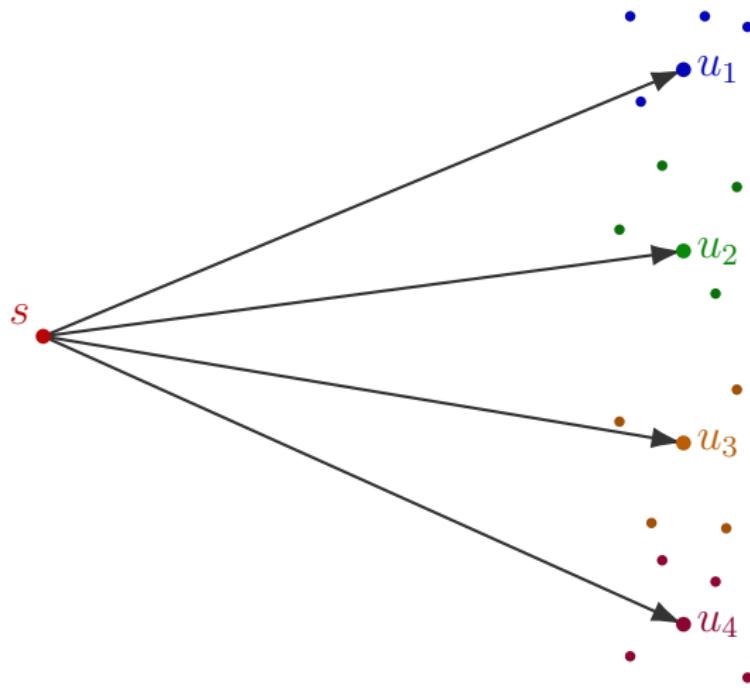
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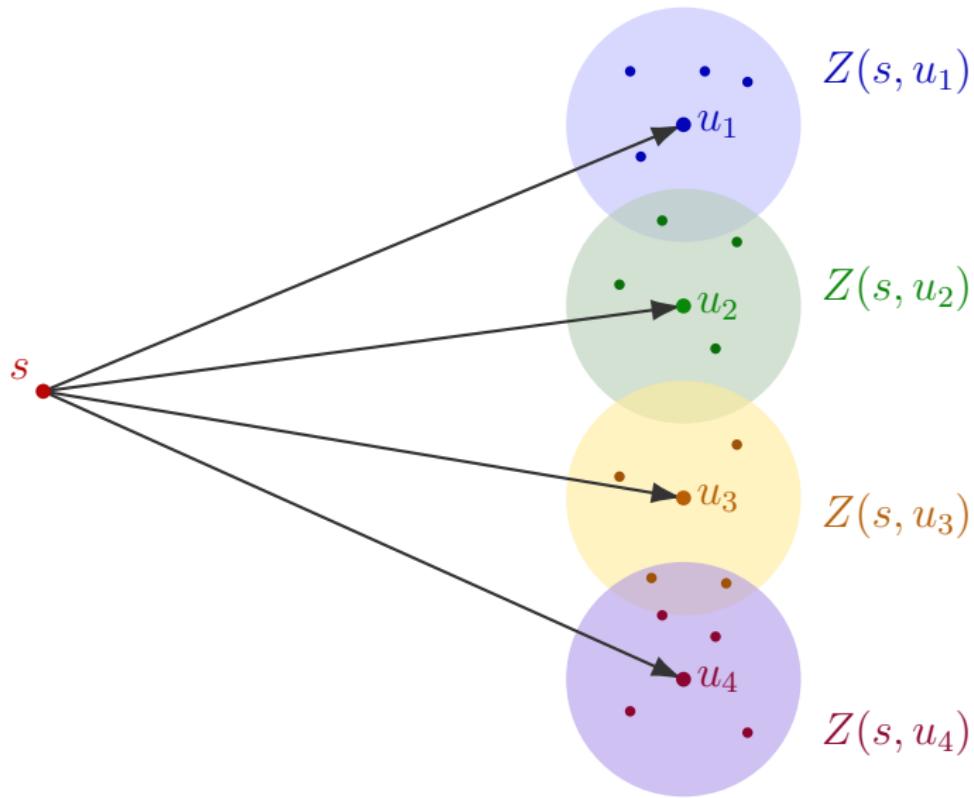
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# Algorithm via Greedy Set Cover

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Each instance:

- $n - 1$  elements,  $n - 1$  sets

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$O(n^3)$ -time algorithm for  $(\ln n + 1)$ -approximation to SNG.

# Hardness via Set Cover

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## Result 2B

NP-hard to compute  $(c \ln n)$ -approximation to SNG, for some  $c > 0$ .

**Idea.** Encode Set Cover as a navigability condition!

## Baseline Results via Set Cover

---

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Q. Can we compute  $O(\ln n)$ -approximation in time  $o(n^3)$ ?

# Faster Algorithms

---

(3/4)

## Membership Set Cover

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## Set Cover (Membership Model)

Access input only via queries “is element  $x$  in set  $S$ ?”

# Algorithm via Membership Set Cover

---

$n$  elements,  $m$  sets,  $k = \min$  cover size

## Lemma

$O(\ln n)$ -approx Set Cover (membership) in  $\tilde{O}(mk + nk)$  time.

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( $\text{OPT}_{\text{size}} := \min$  size of any  $\alpha$ -navigable graph)

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- $\text{OPT}_{\text{size}} = \tilde{O}(n) \implies \tilde{O}(n^2)$  runtime
- $\alpha = 1 \implies \text{OPT}_{\text{size}} = \tilde{O}(n^{1.5})$  [DGM<sup>+</sup>24]  
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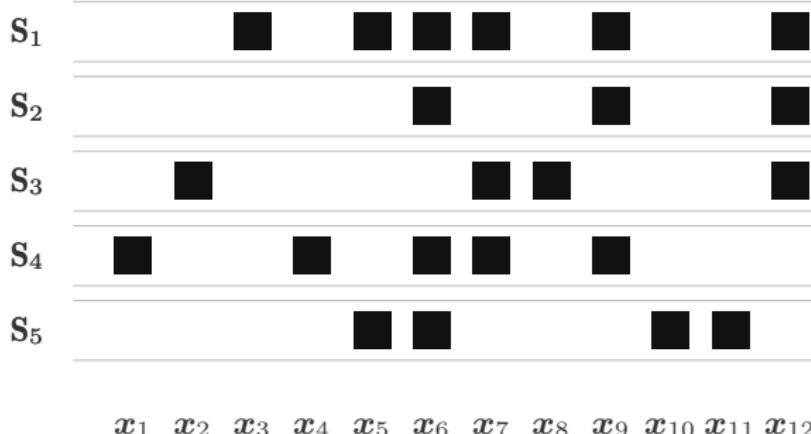
$O(\ln n)$ -approx Set Cover (membership) in  $\tilde{O}(mk + nk)$  time.

**Idea.** Simulate greedy via random sampling

- Greedy: heaviest set covers  $\geq (1/k)$ -fraction of elements

## FindHeavySet: Illustration

---



- Sets  $S_1, \dots, S_5$ , elements  $x_1, \dots, x_{12}$

# FindHeavySet: Illustration

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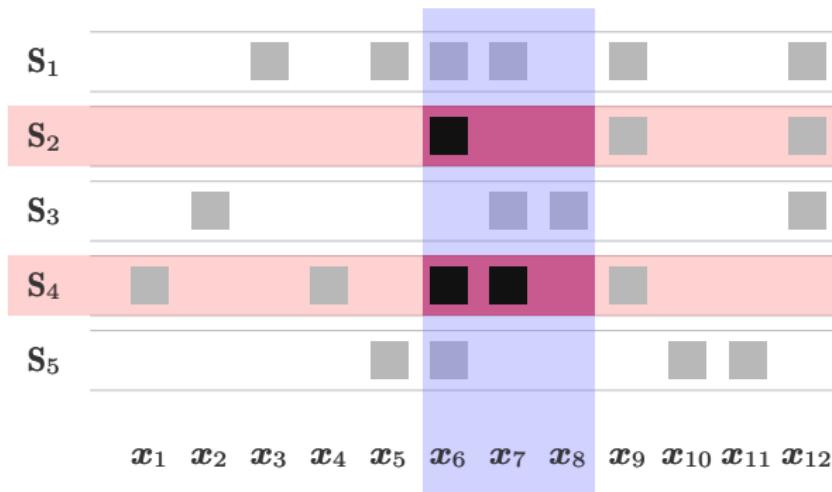


$x_1 \ x_2 \ x_3 \ x_4 \ x_5 \ x_6 \ x_7 \ x_8 \ x_9 \ x_{10} \ x_{11} \ x_{12}$

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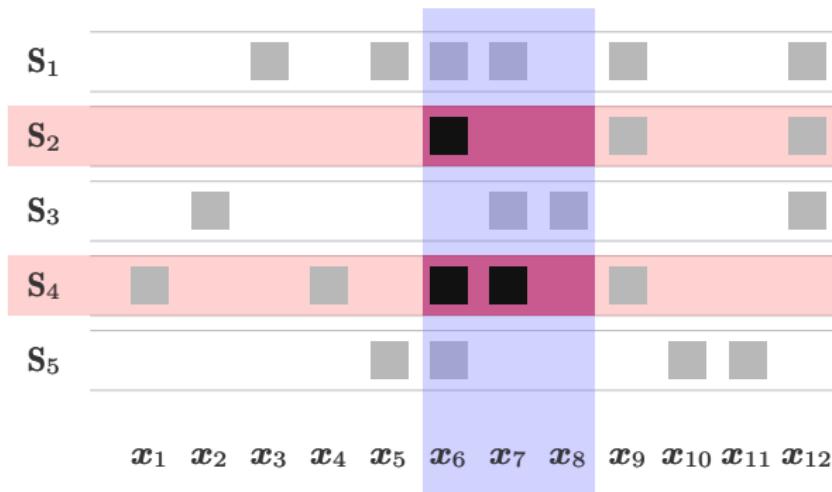
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- Set sample:  $\{S_2, S_4\}$
- Element sample:  $\{x_6, x_7, x_8\}$
- $S_4$  hits many elements  $\implies$  good set to pick!

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vs.

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Q. What if  $\text{OPT}_{\text{size}} = \tilde{\Omega}(n^2)$ ?

# Bicriteria Approximation to SNG

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## Bicriteria Approximation to SNG

Given  $\alpha \leq \beta$ , build  $\alpha$ -navigable graph  $\mathbf{G}$ .

- Let  $k_\beta := \text{max-degree of sparsest } \beta\text{-navigable graph}$
- **Guarantee.**  $\deg(\mathbf{G}) \leq (\text{approx factor}) \times k_\beta$

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## Result 4 (informal)

$\tilde{O}(n^\omega)$ -time algorithm for  $O(\ln n)$ -approximation to  $(\alpha, 2\alpha)$ -SNG.

( $\omega \approx 2.37 = \text{matrix multiplication exponent}$ )

## Verification via Matrix Multiplication

---

How to even verify that  $G$  is  $\alpha$ -navigable?

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How to even verify that  $\mathbf{G}$  is  $\alpha$ -navigable?

**Naive:**

- For all  $s \neq t \in \mathbf{P}$  and  $(s, u) \in E$ , check
$$d(u, t) < d(s, t)/\alpha$$
- $\Theta(n^2 \cdot \deg(\mathbf{G})) \longrightarrow$  potentially  $\Omega(n^3)$  time!

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**Better:** batch verification!

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- Let  $r = d(s, t)$  and  $A, B_r \in \{0, 1\}^{n \times n}$

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- Let  $r = d(s, t)$  and  $A, B_r \in \{0, 1\}^{n \times n}$

$$A[s, u] = 1 \iff (s, u) \in E \quad (\text{adjacency in } \mathbf{G})$$

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## Result 4 (informal)

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## Result 3

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Q. Even faster algorithms for worse approximation?

# Lower Bound

---

(4/4)

## Simple $\Omega(n^2)$ Lower Bound

---

### Result 5

$\Omega(n^2)$  queries to  $d(\cdot, \cdot)$  needed for *any*  $o(n)$ -approximation to SNG.

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**Idea.** Navigability  $\implies$  graph contains minimum-distance edge

- Fix a metric with constant-degree navigable graph
- Shrink a random distance  $\longrightarrow$  hidden shortcut

# Perturbed Path Metric

---

**Metric on  $[n]$ :**

$$d(i, j) = 1 + \frac{|i - j|}{n - 1}$$

**Perturbation:** sample  $(i^*, j^*)$  at random, then update

$$d(i^*, j^*) \leftarrow 1$$

## Perturbed Path Metric

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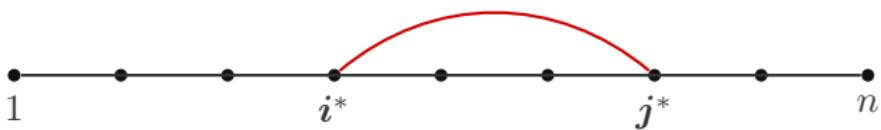
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[Conway et al. 2025]  $\tilde{\Omega}(n^2)$  lower bound in Euclidean via Closest Pair

# Navigability Landscape

---

## Results 3, 4, 5

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## Theorem [Conway et al. 2025]

- $\tilde{O}(n^2)$ -time for  $O(\ln n)$ -approximation for  $\alpha = 1$
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Q. Is  $\tilde{O}(n^2)$  time possible for  $O(\ln n)$ -approximation when  $\alpha > 1$ ?

# Thanks for Listening!

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